

## Appendix E– Allowance “Hot List”

### ALLOWANCE HOT LIST

Application No. 09691435 Prepared by ENimma  
Examiner-TC 3714, Auburn Date 9-7-04

#### JACKET:

☒ YES NO Primary Examiner box complete.  
☒ YES NO Issuing Classification complete.

#### PTO-892/1449:

☒ YES NO Examiner's initials or cross-through lines supplied for each item cited by applicant.  
☒ YES NO Date(s) supplied/complete on all PTO-1449/892 sheets. (Month and year required.)

#### SPEC:

☒ YES NO Brief Description of Drawings includes description of each figure in drawings.  
YES ☒ NO Continuing data is mentioned in 1<sup>st</sup> paragraph. (Can be an insert.)

#### CLAIMS:

☒ YES NO Claims listed on Notice of Allowability match allowed claims and/or index of claims.  
☒ YES NO Claims correctly numbered in index.  
(No duplicate or missing claim numbers.)  
(No incorrect dependencies.)

#### CRFE:

YES ☒ NO If necessary (biological sequence listing).

#### NOTICE OF ALLOWABILITY:

☒ YES NO Either Box No. 3 (drawings accepted) or Box No. <sup>6</sup> (corrected drawing request) has been checked.

**U.S. DEPARTMENT OF COMMERCE**  
**PATENT AND TRADEMARK OFFICE**

## EXAMINER'S CASE ACTION WORKSHEET

|                               |   |                           |
|-------------------------------|---|---------------------------|
| Application No.<br>09/691,435 |  | Legal Instrument Examiner |
|-------------------------------|---|---------------------------|

CHECK TYPE OF ACTION

DATE OF COUNT

|   |   |   |
|---|---|---|
| <input type="checkbox"/> Non-Final Rejection  | <input type="checkbox"/> Restriction/Election Only  | <input type="checkbox"/> Final Rejection  |
| <input type="checkbox"/> Ex Parte Quayle  | <input checked="" type="checkbox"/> Allowance   | <input type="checkbox"/> Advisory Action  |
| <input type="checkbox"/> Examiner's Answer  | <input type="checkbox"/> Reply Brief Noted  | <input type="checkbox"/> Non-Entry of Reply Brief   |
| <input type="checkbox"/> Defective Notice of Appeal   | <input type="checkbox"/> Interference Disposal<br>SPE _____<br>(Approval for Disposal)          | <input type="checkbox"/> Suspension<br>(Examiner-Initiated)<br>SPE _____ (initial)              |
| <input type="checkbox"/> Defective Appeal Brief   | <input type="checkbox"/> SIR Disposal<br>(use only after FAOM)                                  | <input type="checkbox"/> Supplemental Examiner's Amendment                                      |
| <input type="checkbox"/> Miscellaneous Office Letter<br>(With Shortened Statutory Period Set) | <input type="checkbox"/> Notice of Non-Responsive Amendment<br>(With One Month Time Period set) | <input type="checkbox"/> Miscellaneous Office Letter<br>(No Response Period Set)                |
| <input type="checkbox"/> Abandonment after BPAI Decision                                      | <input type="checkbox"/> Supplemental Action<br>(excluding Examiner's Answer)                   | <input type="checkbox"/> Response to Rule 312 Amendment   |
| <input type="checkbox"/> Letter Restarting Period for Response (e.g., Missing References)     | <input type="checkbox"/> Interview Summary  | <input type="checkbox"/> Authorization to Change Previous Office Action<br>SPE: _____ (Initial) |
| <input type="checkbox"/> Abandonment  | <input type="checkbox"/> Express Abandonment<br>Date: _____                                     | <input type="checkbox"/> Other<br>Specify: _____  |

**Examiner's Name:** Steven Ashburn

**AU:** 3714